

PTO/SB/21 (08-03)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	10/682,184
	Filing Date	10/09/2003
	First Named Inventor	Lee A. Core
	Art Unit	3763
	Examiner Name	TBA
Total Number of Pages in This Submission	Attorney Docket Number	106586.170US2

ENCLOSURES (Check all that apply)		
<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> After Allowance communication to Group
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment/Reply	<input type="checkbox"/> Petition	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Power of Attorney, Revocation	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Change of Correspondence Address	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	- Postcard
<input checked="" type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Request for Refund	
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> CD, Number of CD(s) _____	
<input type="checkbox"/> Response to Missing Parts/Incomplete Application	Remarks 1. PTO Form 1449 (2 pgs.) 2. 51 Publications	
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT		
Firm or Individual name	Ronald Demsher	
Signature		
Date	MARCH 4, 2004	

CERTIFICATE OF TRANSMISSION/MAILING		
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.		
Typed or printed name	Tina M. Dougal	
Signature		Date 3/4/2004

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



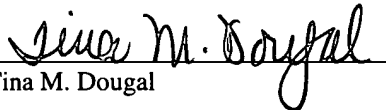
PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Lee A. Core Examiner: TBA
Serial No.: 10/682,184 Group Art Unit: 3763
Filed: October 9, 2003
For: HEMOSTASIS VALVE
Atty. Docket No.: 106586.170US2

CERTIFICATE OF MAILING UNDER 37 C.F.R. 1.8(a)

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450 on March 4, 2004.


Tina M. Dougal

Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

Pursuant to 37 C.F.R. §1.97, Applicant hereby makes of record the publications listed on the attached Form PTO-1449 and enclose copies herewith.

It is respectfully requested that the information above be expressly considered during the prosecution of this application and that the publications be made of record therein and appear among the "References Cited" on any patent to issue therefrom. In this regard, it is requested that the Examiner initial and return a copy of the enclosed Form PTO-1449 with the next Patent Office Communication.

This submission does not represent that a search has been made and does not constitute an admission that the listed publications are material to patentability or that the listed publications are prior art.

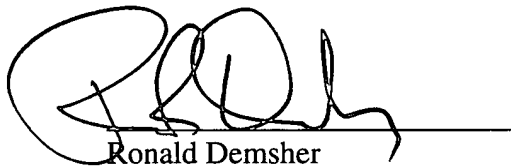
Applicant further reserves the right to take appropriate action to establish the patentability of the disclosed invention over the listed publications should the publication be applied against the claims of the present application.

It is Applicant's belief that the filing of this Information Disclosure Statement precedes the date of the mailing of the first Office Action on its merits; therefore, pursuant to 37 C.F.R. §1.97(b)(3), no fee is believed to be due.

In the event a fee is due, the Commissioner is authorized to charge any fee deficiency or credit any overpayment to Deposit Account No. 08-0219.

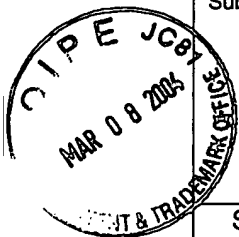
Respectfully submitted,

Dated: March 4, 2004

A handwritten signature in black ink, appearing to read 'Ronald Demsher', written over a horizontal line.

Ronald Demsher
Registration No. 42,478
Attorney for Applicant

Hale and Dorr LLP
60 State Street
Boston, Massachusetts 02109
(617) 526-6548 (telephone)
(617) 526-5000 (facsimile)



Subt. For, PTO-1449				Docket Number 106586.170US2		Application Number 10/682,184	
INFORMATION DISCLOSURE IN AN APPLICATION <i>(Use several sheets if necessary)</i>				Applicant Lee A. Core			
				Filing Date October 9, 2003		Group Art Unit 3763	
Sheet	1	OF	2				

U.S. Patent Documents						
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	US 2002/0183786	12/05/2002	Girton	606	213	
	US 2002/0183787	12/05/2002	Wahr et al.	606	213	
	US 2003/0028213	02/06/2003	Thill et al.	606	200	
	US 2003/0045893	03/06/2003	Ginn	606	151	
	US 2003/0100920	05/29/2003	Akin et al.	606	213	
	3,874,388	04/01/1975	King et al.	128	334	
	4,007,743	02/15/1977	Blake	128	334	
	4,836,204	06/06/1989	Landymore et al.	128	334	
	5,108,420	04/28/1992	Marks	606	213	
	5,192,301	03/09/1993	Kamiya et al.	606	213	
	5,284,488	02/08/1994	Sideris	606	213	
	5,425,744	06/20/1995	Fagan et al.	606	213	
	5,433,727	07/18/1995	Sideris	606	213	
	5,451,235	09/19/1995	Lock et al.	606	213	
	5,507,811	04/16/1996	Koike et al.	623	11	
	5,634,936	06/03/1997	Linden et al.	606	213	
	5,683,411	11/04/1997	Kavteladze et al.	606	200	
	5,702,421	12/30/1997	Schneidt	606	213	
	5,709,707	01/20/1998	Lock et al.	606	213	
	5,725,552	03/10/1998	Kotula et al.	606	213	
	5,733,294	03/31/1998	Forber et al.	606	151	
	5,741,297	04/21/1998	Simon	606	213	
	5,865,791	02/02/1999	Whayne et al.	604	49	

Foreign Patent Documents							
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
	WO 99/18862	04/22/1999					
	WO 99/18864	04/22/1999					
	WO 99/18870	04/22/1999					
	WO 99/18871	04/22/1999					
	WO 03/022159	03/20/2003					

EXAMINER	DATE CONSIDERED
EXAMINER: Initial if citation is considered, whether or not citation is in conformance with MPEP § 609: Draw Line through citation if not conformance and not considered. Include copy with next communication to applicant.	

Subt. For, PTO-1449				Docket Number 106586.170US2		Application Number 10/682,184	
INFORMATION DISCLOSURE IN AN APPLICATION <i>(Use several sheets if necessary)</i>				Applicant Lee A. Core			
				Filing Date October 9, 2003		Group Art Unit 3763	
Sheet	2	OF	2				

U.S. Patent Documents						
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	5,879,366	03/09/1999	Shaw et al.	606	213	
	5,904,703	05/18/1999	Gilson	606	213	
	5,919,200	07/06/1999	Stambaugh et al.	606	159	
	5,944,738	08/31/1999	Amplatz et al.	606	213	
	5,976,174	11/02/1999	Ruiz	606	213	
	6,024,756	02/15/2000	Huebsch et al.	606	213	
	6,056,760	05/02/2000	Koike et al.	606	148	
	6,080,182	06/27/2000	Shaw et al.	606	213	
	6,117,159	09/12/2000	Huebsch et al.	606	213	
	6,152,144	11/28/2000	Lesh et al.	128	898	
	6,171,329	01/09/2001	Shaw et al.	606	213	
	6,174,322	01/16/2001	Schneidt	606	213	
	6,206,907	03/27/2001	Marino et al.	606	215	
	6,214,029	04/10/2001	Thill et al.	606	213	
	6,221,092	04/24/2001	Koike et al.	606	213	
	6,231,561	05/15/2001	Frazier et al.	604	500	
	6,290,674	09/18/2001	Roue et al.	604	107	
	6,312,446	11/06/2001	Huebsch et al.	606	213	
	6,342,064	01/29/2002	Koike et al.	606	213	
	6,355,052	03/12/2002	Neuss et al.	606	213	
	6,375,671	04/23/2002	Kobayashi et al.	606	213	
	6,379,368	04/30/2002	Corcoran et al.	606	153	
	6,482,224	11/19/2002	Michler et al.	606	219	

EXAMINER	DATE CONSIDERED
EXAMINER: Initial if citation is considered, whether or not citation is in conformance with MPEP § 609: Draw Line through citation if not conformance and not considered. Include copy with next communication to applicant.	